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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alfred D. Ducharme et al.

Serial No: 09/716,819

Filed: November 20, 2000

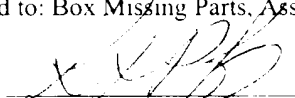
For: Systems and Methods for Generating
and Modulating Illumination
Conditions

Art Unit: 2875

Attorney Docket No. CKC-024.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 19, 2001.


Robert King

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

We attach an executed Declaration for the above-referenced application.

Applicant is entitled to small entity status.

Also enclosed is a copy of the Notice to File Missing Parts and a check totaling \$1,285.00 for the small entity filing fee (\$355), excess claim surcharge (\$865), and late filing surcharge (\$65).

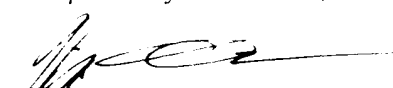
Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,

Date: April 19, 2001

Customer No: 25181

Patent Group
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Kirk A. Damman
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAME APPLICANT	ATTORNEY REFERENCE NUMBER
09/716,819	11/20/2000	Al Ducharme	CKC-02401

CONFIRMATION NO. 9031

FORMALITIES LETTER



OC000000005896103

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Rec'd to MP
5-23-01
10-23-01

Date Mailed: 03/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1730.
 - \$1170 for 65 total claims over 20.
 - \$560 for 7 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 2570.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 398-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2001 BNGUYEN1 00000008 09716819
01 FC:201
02 FC:203
03 FC:202
04 FC:205
155.00
565.00
260.00
65.00